

## Dr. Jan-Ocko Heuer

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### RESEARCH INTERESTS

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Comparative social policy; international and comparative political economy; social theory; historical institutionalism; moral economies of credit/debt and welfare; qualitative-comparative methodology

### ACADEMIC EDUCATION

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- 2014      **Dr. rer. pol. (equiv. PhD) in Economics and Social Sciences** (summa cum laude), Bremen International Graduate School of Social Sciences, University of Bremen
- 2007      **Diplom (equiv. M.A.) in Sociology** (with distinction), University of Bremen
- Awarded Best Degree of the Academic Year 2007, Department of Social Sciences, University of Bremen
  - *Forschungspreis* (Research Award) 2008, Josef-Popper-Nährpflicht Foundation of Goethe University Frankfurt, for the diploma thesis
  - *Nachwuchspreis* (Young Talent Award) 2009, Section Sociology of Law, German Sociological Association (DGS), for the diploma thesis

### RESEARCH POSITIONS

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- 05/2015-      **Research Associate (Postdoc)**, NORFACE Welfare State Futures, project 'WelfSOC' [deliberative mini-publics and focus groups on welfare attitudes in five countries]; 2016/2017: nine months of parental leave with part-time work
- 2014-2015      **Research Associate**, Center for Social Policy Research, University of Bremen
- 2013-2014      **Research Associate**, Collaborative Research Center 'Transformations of the State'
- 2007-2014      **PhD Fellow**, Bremen International Graduate School of Social Sciences (BIGSSS)
- 2004-2005      **Researcher at Seghorn Inkasso GmbH** in research projects on debt and insolvency

### GRANTS AND SCHOLARSHIPS

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- 2016      **Research and Dissemination Grant**, KOSMOS Dialogue 'Financial institutions and households after the financial crisis: Changing rationalities of financial strategies?' with Prof. Neil Fligstein (University of California, Berkeley) and invited junior and senior researchers, 4 April, Humboldt-Universität zu Berlin (with K. Krenn)
- 2008-2010      **Doctoral Scholarship Grant**, Konrad Adenauer Foundation
- 2007      **Doctoral Scholarship Grant**, Volkswagen Foundation and University of Bremen (granted for three years, declined in 2008 due to award of additional grant)
- 2003      **Student Scholarship Grant**, German Academic Exchange Service (DAAD), for studies at the Peoples' Friendship University of Russia, Moscow

## EXPERT CONSULTATIONS

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- 2016 Report on over-indebtedness and (re-)employment in Germany to HU University of Applied Sciences Utrecht and University of Amsterdam for the Dutch Public Employment Service
- 2015 Advice to the Dutch Ministry of Social Affairs and Employment on reforms of out-of-court and in-court procedures for consumer insolvency and debt relief

## PROFESSIONAL ACTIVITIES

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**Member:** American Sociological Association (ASA), German Sociological Association (DGS), Network for European Social Policy Analysis (ESPAnet), Law and Society Association (LSA), Competence Center Consumer Research NRW (CECORE)

**Representative:** Fellow Representative Bremen International Graduate School of Social Sciences (BIGSSS) 2009-2010; Fellow Representative at BIGSSS Admissions Board 2010

**Reviewer:** Current Sociology, European Sociological Review, Journal of Comparative Policy Analysis, Journal of European Social Policy, Key Issues, Queensland University of Technology Law Review, Zeitschrift für Soziologie, Zeitschrift für Theoretische Soziologie

**Organizer:** ESPAnet Summer School Bremen 2009, Panel at DGS Congress Trier 2014, Panel at DGS Congress Bamberg 2016, KOSMOS Dialogue Humboldt-Universität zu Berlin 2016

## GENERAL SKILLS

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**Methods:** expert interviews, semi-structured interviews, focus groups, citizen deliberation (mini-publics), surveys (online, CATI, paper-based), qualitative content analysis, typologies, indices, leximetrics, country-case studies, process tracing, comparative designs, statistical exploration and inference, non-parametric analyses, regression models, clustering, dimension reduction

**Dataset:** Comparative Consumer Bankruptcy Dataset (constructed for PhD thesis; 187 variables and 41 indices on consumer insolvency law and practice in 15 countries; last update 2015)

**Literature:** Citavi database of 13,000 titles on research interests, with abstracts and keywords

**Computer:** SPSS, R (beginner), MAXQDA, NVivo, fsQCA, Citavi, EndNote, RefWorks, Microsoft Office (Word, Excel, PowerPoint, Access), several web browsers and e-mail clients

**Languages:** German (native), English (fluent), Russian (basic), Spanish (basic), Latin (advanced proficiency certificate)

## TEACHING EXPERIENCE

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- Winter 2017 Seminar 'Methoden der empirischen Sozialforschung', MA Professional Public Decision Making, University of Bremen
- Summer 2017 Research seminar 'Innovative qualitative Methoden: Einstellungen zum Fördern und Fordern in der Arbeitsmarkt- und Sozialpolitik II' (with K. Zimmermann), MA Social Sciences, Humboldt-Universität zu Berlin
- Winter 2016 Seminar 'Innovative qualitative Methoden: Einstellungen zum Fördern und Fordern in der Arbeitsmarkt- und Sozialpolitik I' (with K. Zimmermann), MA Social Sciences, Humboldt-Universität zu Berlin

Summer 2009 Seminar 'Structuration theories: Sociological theories of Anthony Giddens and Pierre Bourdieu' (with C. Çelik), BA Sociology, University of Bremen

## RESEARCH DISSEMINATION

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- 2017 Lecture and discussion 'Wie gerecht geht es in Deutschland zu? Soziale Ungleichheit und ihre Folgen', 22 May, Friedrich Ebert Foundation, Bonn
- Lecture and discussion 'Justice sociale: Quelles réponses face à la montée des inégalités en Europe?', 27 March, Friedrich Ebert Foundation, Paris
- 2016 Lecture and discussion 'Ungleichheit als Gefahr für Demokratie und Wohlstand?', 15 September, Friedrich Ebert Foundation, Pforzheim
- Lecture and discussion 'Soziale Gerechtigkeit im 21. Jahrhundert', 12 July, Friedrich Ebert Foundation, Stuttgart
- Political breakfast 'Ungleichheit und nachhaltiges Wachstum: Was denkt die Bevölkerung? Was kann die Politik tun?' (with S. Mau), 11 May, German Federal Parliament, Berlin

## PUBLICATIONS

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### A. Monographs

Public sector employment regimes: Transformations of the state as an employer (with K. Gottschall, B. Kittel, K. Briken, S. Hils, S. Streb, M. Tepe). Basingstoke: Palgrave Macmillan (2017).

Anwaltliche Schuldner- und Verbraucherinsolvenzberatung: Eine explorative Studie zur Rechtswirklichkeit anwaltlicher Insolvenzberatung im Rahmen des § 305 InsO. Hamburg: Dr. Kovač (2009).

Der außergerichtliche Einigungsversuch im Verbraucherinsolvenzverfahren: Inkasso-Unternehmen als Datenquelle für Verschuldungsuntersuchungen (with S. Hils, A. Richter, B. Schröder, R. Sackmann). Norderstedt: Books on Demand (2006).

### B. Editorships

Special Issue: Einstellungen von BürgerInnen zum Fördern und Fordern in der Arbeitsmarktpolitik: Ergebnisse eines deliberativen Forums (with K. Zimmermann). *Soziologiemagazin* (accepted).

Special Issue: Finanzialisierung des Alltags als Herausforderung für den Sozialstaat (with J. Schraten). *Zeitschrift für Sozialreform* 61(3) (2015).

### C. Journal Articles (\* = peer-reviewed)

Zur Akzeptanz des Wohlfahrtsstaates: Fixe Meinungen oder Willensbildung durch Deliberation? (with S. Mau, K. Zimmermann). *Nova Acta Leopoldina* (accepted).\*

Changing preferences towards redistribution: How deliberation shapes welfare attitudes (with K. Zimmermann, S. Mau). *Social Policy & Administration* (in press; DOI:10.1111/spol.12398).\*

Private Überschuldung und Sozialpolitik: Varianten der staatlichen Regulierung von Verbraucherinsolvenz und Restschuldbefreiung. *Zeitschrift für Sozialreform* 61(3): 315-340 (2015).\*

Editorial: Finanzialisierung des Alltags als Herausforderung für den Sozialstaat (with J. Schraten). *Zeitschrift für Sozialreform* 61(3): 229-238 (2015).

Verbraucherinsolvenzberatung durch Rechtsanwälte: Ergebnisse einer empirischen Studie. *Zeitschrift für Verbraucher- und Privat-Insolvenzrecht* 7(12): 505-513 (2008).

#### **D. Book Chapters**

Consumer insolvency proceedings in Europe: An introduction to consumer over-indebtedness and debt relief. In: T. K. Graziano, J. Bojars (eds.): *Consumer insolvency proceedings in the European Union Member States and Switzerland: A comparative study* (accepted).

Ängste in der Bevölkerung bei der sozialpolitischen Integration von Migrant\*innen (with S. Mau). In: S. Betzelt, I. Bode (eds.): *Angst im neuen Wohlfahrtsstaat: Kritische Blicke auf ein diffuses Phänomen*. Baden-Baden: Nomos (accepted).

Attitudes towards inequalities: Citizen deliberation about the (re-)distribution of income and wealth in four welfare state regimes (with S. Mau, K. Zimmerman). In: P. Taylor-Gooby, B. Leruth (eds.): *Attitudes, aspirations and welfare: Social policy directions in changing times*. Basingstoke: Palgrave Macmillan (accepted).

Attitudes towards education, social investment and the labour market (with K. Zimmermann, H. Chung). In: P. Taylor-Gooby, B. Leruth (eds.): *Attitudes, aspirations and welfare: Social policy directions in changing times*. Basingstoke: Palgrave Macmillan (accepted).

Stretching the limits of solidarity: The German case (with S. Mau). In: P. Taylor-Gooby, B. Leruth, H. Chung (eds.): *After austerity: Welfare state transformations in Europe after the Great Recession*. Oxford: Oxford University Press, pp. 27-47 (2017).

„Effizienz, Kundenorientierung, Flexibilität, Transparenz [...] – dadurch verkaufen wir uns ja sozusagen“: Werthaltungen im öffentlichen Dienst in Deutschland in marktnahen und marktfernen Bereichen (with K. Gottschall, A. Häberle, S. Hils). In: P. Sachweh, S. Münnich (eds.): *Kapitalismus als Lebensform? Deutungsmuster, Legitimation und Kritik in der Marktgesellschaft*. Wiesbaden: Springer VS, pp. 81-106 (2017).

#### **E. Expert Reports**

Wachsende Ungleichheit als Gefahr für nachhaltiges Wachstum: Wie die Bevölkerung über soziale Unterschiede denkt (with S. Mau). Bonn: Friedrich-Ebert-Stiftung, Abteilung Wirtschafts- und Sozialpolitik (2016).

#### **F. Book Reviews**

Iain Ramsay: Personal insolvency in the 21st century: A comparative analysis of the US and Europe. Oxford and Portland, Oregon: Hart Publishing 2017. *International Insolvency Review* 26(3): 354-357 (2017).

Daniel Mertens: Erst sparen, dann kaufen? Privatverschuldung in Deutschland. Frankfurt am Main and New York: Campus 2015. *Politische Vierteljahresschrift* 58(1): 162-164 (2017).

#### **G. Working Papers**

Weder Staatsdiener noch Dienstleister: Selbstverständnis öffentlich Beschäftigter in Deutschland (with K. Gottschall, A. Häberle, S. Hils). Bremen: *TranState Working Paper* 187 (2015).

Insolvenzursachen und Insolvenzprophylaxe: Ergebnisse einer Befragung von Geschäftsführern insolventer Unternehmen (with S. Hils, A. Richter). Bremen: *Seghorn Forschungsreihe* 3 (2006).

Überschuldung – Ursachen und Prävention: Ergebnisse einer Befragung von überschuldeten Personen (with S. Hils, A. Richter). Bremen: *Seghorn Forschungsreihe 2* (2006).

Der außergerichtliche Einigungsversuch im Verbraucherinsolvenzverfahren: Inkasso-Unternehmen als Datenquelle für Verschuldungsuntersuchungen (with S. Hils, A. Richter, B. Schröder, R. Sackmann). Halle (Saale): *Der Hallesche Graureiher 2005-3* – Forschungsberichte des Instituts für Soziologie der Martin-Luther-Universität Halle-Wittenberg (2005).

## H. Qualification Theses

Rules and norms of consumer insolvency and debt relief: A comparison and classification of personal bankruptcy regimes in 15 economically advanced countries. PhD thesis, Bremen International Graduate School of Social Sciences, University of Bremen (2014).

Anwaltliche Schuldner- und Verbraucherinsolvenzberatung: Eine explorative Studie zur Rechtswirklichkeit anwaltlicher Insolvenzberatung im Rahmen des § 305 InsO. Diploma thesis, University of Bremen (2007).

## I. Other Publications

Social inclusion and exclusion in European consumer bankruptcy systems. Paper for the International conference 'Shifting to Post-Crisis Welfare States in Europe? Long Term and Short Term Perspectives', Berlin, 4-5 June 2013, organized by NordForsk and the Nordic Centres of Excellence NordWel and REASSESS, available at: [https://www.academia.edu/3992692/Social\\_Exclusion\\_in\\_European\\_Consumer\\_Bankruptcy\\_Systems](https://www.academia.edu/3992692/Social_Exclusion_in_European_Consumer_Bankruptcy_Systems) (cited in several publications and used as teaching material on comparative consumer bankruptcy, thus I leave this early paper on Academia.edu)

## J. Work in Progress

Revised & resubmitted. The four worlds of consumer bankruptcy law: An empirical and theoretical classification of 15 regimes. *Socio-Economic Review*.

Revised & resubmitted. Regime, social risks and welfare mix: How citizens in Germany and the United Kingdom attribute responsibilities for pensions and childcare to state, market and family (with P. Taylor-Gooby, H. Chung, B. Leruth, S. Mau, K. Zimmermann). *Journal of European Social Policy*.

Under review. Unravelling deservingness: Which criteria do people use to judge the relative deservingness of welfare target groups? (with K. Zimmermann). *European Sociological Review*.

Under review. Finanzialisierung und Wohlfahrtsstaat: Konzepte und Befunde zur Rolle von Finanzmärkten in der Sozialpolitik(forschung) (with D. Mertens). *Sozialer Fortschritt*.

Under review. Attitudes to conditionality in changing welfare states (with P. Taylor-Gooby, B. Leruth, K. Zimmermann). Special issue proposal, *Policy & Politics*.

Under review. Rules and norms of consumer insolvency and debt relief: A comparison and classification of personal bankruptcy systems in 15 economically advanced countries. Book proposal, various publishers.

Book chapter draft. Privatinsolvenz und Restschuldbefreiung zur Armutsbekämpfung? Modelle der Entschuldung im internationalen Vergleich. In: C. Knöpfel, C. Mattes (eds.): *Armutsbekämpfung durch Schuldenprävention: Empirische Befunde, methodische Zugänge und Perspektiven*. Wiesbaden: Springer VS.

Article draft. “It depends on the circumstances”: Citizens’ conditionality requirements in different areas of social (re-)production (with K. Zimmermann). For presentation at NORFACE Welfare State Futures (NORFACE WSF), Final Conference, 24-25 May, Florence.

Article draft. The new poor person’s bankruptcy: A comparative study in precarity (with I. Ramsay). For presentation at Law and Society Association (LSA), Annual Meeting, Collaborative Research Network on Household Finance, 7-10 June, Toronto/ON.

Article draft. Patterns of policy transfer and diffusion – the case of consumer insolvency law in Central and Eastern Europe. For presentation at the International Conference ‘Balance of interests: Restructuring, insolvency and second chance’, 31 May-1 June, INSOL Europe, Eastern European Countries’ Committee, Riga.

Article draft. Meritocracy and equality: The moral repertoire of social inequality in four countries (with T. Lux, S. Mau, K. Zimmermann).

Article draft. Civil servant or service provider? Value patterns of public sector employees in different domains of public services in France, Germany and Sweden (with K. Briken, K. Gottschall, A. Häberle, S. Hils).

## **PRESENTATIONS (since 2012)**

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### **A. Invited Talks**

- 2018 [scheduled] International Conference ‘Balance of interests: Restructuring, insolvency and second chance’, 31 May-1 June, INSOL Europe, Eastern European Countries’ Committee, Riga: *Patterns of policy transfer and diffusion – the case of consumer insolvency law in Central and Eastern Europe*.
- 2017 International Meeting ‘Armutsbekämpfung durch Schuldenprävention’, 9-10 November, Basel/Olten: *Markt – Haftung – Sozialstaat: Modelle der Entschuldung zur Armutsbekämpfung im internationalen Vergleich*.
- International Workshop ‘Debt and debt relief’, 20 September, Institute of Advanced Studies, University of Birmingham: *Legal frameworks for debt relief and their impact on low-income debtors*.
- 2016 International Symposium ‘Debt and guilt: On the moralization of the economy’, 16-17 July, Humboldt-Universität zu Berlin: *Moral economies of consumer insolvency*.
- International Workshop ‘The new poor persons’ bankruptcy: Regulatory private law, social policy and the market’, 28 April, University of Kent: *German perspectives on low-income, low-assets debtors*.
- 2014 International Workshop ‘Consumer insolvency in times of austerity’, 15-16 December, University of Helsinki: *Debt relief for individuals and restrictions to the ‘fresh start’*.

### **B. Conferences**

- 2018 [accepted] Law and Society Association (LSA), Annual Meeting, Collaborative Research Network on Household Finance, 7-10 June, Toronto/ON: *The new poor persons’ bankruptcy: A comparative study in precarity* (with I. Ramsay).

- [accepted] NORFACE Welfare State Futures (**NORFACE WSF**), Final Conference, 24-25 May, Florence: *Redistribution reinforced: Citizens' perceptions of welfare conditionality from a multidimensional perspective* (with K. Zimmermann).
- 2017 Network for European Social Policy Analysis (**ESPAnet**), Annual Conference, 14-16 September, Lisbon: *Rights and obligations in times of austerity: Citizens' perceptions of welfare conditionality from a multidimensional perspective* (with K. Zimmermann).
- German Sociological Association (**DGS**), Section Social Policy, Annual Meeting, 22-23 June, Berlin: *Ängste in der Bevölkerung bei der sozialpolitischen Integration von MigrantInnen: Deliberative Foren als Mittel der Sozialpolitikforschung* (with S. Mau).
- German Association for Political Science (**DVPW**), Working Group Comparative Welfare State Research, 27-28 April, Kassel: *Finanzmärkte und Finanzprodukte in der Sozialpolitik(forschung): Konzepte und empirische Befunde* (with D. Mertens).
- 2016 German Sociological Association (**DGS**), 38<sup>th</sup> Congress, 26-30 September, Bamberg: Panel *Finanzialisierung des Alltags als Öffnung und Schließung von Märkten* (with J. Schratzen).
- German Sociological Association (**DGS**), 38<sup>th</sup> Congress, Section Social Policy, 26-30 September, Bamberg: *Staat, Markt oder Familie: Sozialpolitische Verantwortlichkeiten im Spiegel der Bevölkerungsmeinung im deutschen und britischen Wohlfahrtsstaat* (with S. Mau, K. Zimmermann).
- Network for European Social Policy Analysis (**ESPAnet**), Annual Conference, 1-3 September, Rotterdam: *State, market, or family: Attributions of responsibilities for social policies by the population in the German and British welfare state regime* (with S. Mau, K. Zimmermann).
- Network for European Social Policy Analysis (**ESPAnet**), Annual Conference, 1-3 September, Rotterdam: *Deliberating redistribution: How deliberation shapes welfare attitudes* (with K. Zimmermann, S. Mau).
- 2015 Network for European Social Policy Analysis (**ESPAnet**), Annual Conference, 3-5 September, Odense: *Stretching the limits of solidarity: The German case* (with S. Mau).
- 2014 German Sociological Association (**DGS**), 37<sup>th</sup> Congress, 6-10 October, Trier: Panel *Krisen durch die Finanzialisierung des Alltagslebens* (with J. Schratzen).
- German Sociological Association (**DGS**), 37<sup>th</sup> Congress, Section Social Policy, 6-10 October, Trier: *Private Überschuldung und Sozialpolitik: Das Problem der sozialen Exklusion von SchuldnerIn in den europäischen Verbraucherinsolvenzverfahren*.
- Competence Center Consumer Research NRW (**CECORE**), International Conference on Consumer Research, 29-30 September, Bonn: *Consumer bankruptcy as new measure of social and consumer policy in Europe: General characteristics, national varieties, and the problem of social exclusion*.
- European Consortium for Political Research (**ECPR**), Annual Conference, Section Political Economy, 3-6 September, Glasgow: *The political economy of consumer debt relief: Consumer bankruptcy in comparative perspective*.
- Law and Society Association (**LSA**), Annual Meeting, International Research Collaborative on Household Debt and Insolvency, 29 May-1 June, Minneapolis/MN: *National differences in the scope of consumer bankruptcy's financial 'fresh start': Testing competing explanations for number and types of discharge exceptions*.

- 2013 Berlin Working Group on Socio-Legal Studies (**BAR**) and Law & Society Institute Berlin (**LSI**), Conference 'Recht und Krise', 11-12 October, Berlin: *Rechtliche Bearbeitung von finanziellen Krisen: Merkmale und Varianz von Verbraucherinsolvenzverfahren*.
- Society for the Advancement of Socio-Economics (**SASE**), Annual Conference, Network Welfare State, 27-29 June, Milan: *Consumer bankruptcy as social policy: General characteristics and national diversity*.
- Nordic Centers of Excellence **NordWel** and **REASSESS**, International Conference 'Shifting to post-crisis welfare states in Europe: Long-term and short-term perspectives', 4-5 June, Berlin: *Social inclusion and exclusion in European consumer bankruptcy systems*.
- 2012 Law and Society Association (**LSA**), Annual Meeting, International Research Collaborative on Household Debt and Insolvency, 5-8 June, Honolulu/HI: *Debt discharge for insolvent consumers: A classification of personal bankruptcy laws in 15 economically advanced countries*.

## REFERENCES

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- Prof. Dr. Karin Gottschall**, Professor, Head of Department 'Dynamics of Inequality in Welfare Societies', SOCIUM Research Center on Inequality and Social Policy, University of Bremen; e-mail: karin.gottschall@uni-bremen.de
- Prof. Dr. Steffen Mau**, Professor, Chair of Macrosociology, Department of Social Sciences, Humboldt-Universität zu Berlin; e-mail: steffen.mau@hu-berlin.de
- Dr. Waltraud Schelkle**, Associate Professor of Political Economy, European Institute, London School of Economics and Political Science (LSE); e-mail: w.schelkle@lse.ac.uk
- Prof. Peter Taylor-Gooby**, Research Professor of Social Policy, School of Social Policy, Sociology and Social Research, University of Kent, e-mail: P.F.Taylor-gooby@kent.ac.uk

Berlin, 15 February 2018